

JUN. 17. 2002 9:37AM

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JUN 18 2002 NO. 6750 P. 1

GROUP 1600

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FACSIMILE COVER SHEET

Total No. of Page(s): 15 (including this sheet)

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Date: June 17, 2002
To: Examiner Pamela G. Holbrook, Art Unit 1647
U.S. Patent & Trademark Office
Fax No: (703) 872-9306
From: Joanne R. Petithory, Ph.D., Reg. No. 42, 995

Attorney Docket No. 0202us810

In re application of:

A. H. Pedersen, *et al.*

Application No.: 09/648,569

Filed: August 25, 2000

For: NEW INTERFERON BETA-LIKE MOLECULES

Examiner: Holbrook, Pamela G.

Art Unit: 1647

PRELIMINARY AMENDMENT and
RESPONSE TO RESTRICTION

TO BE MADE OF OFFICIAL RECORD

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NO. 6750 P. 2

(Mailed) PTO/SB/21 (5-98)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

09/648,569

Filing Date

August 25, 2000

First Named Inventor

A. H. Pedersen

Group Art Unit

1647

Examiner Name

Pamela G. Holbrook

Total Number of Pages in This Submission

14

Attorney Docket Number

0202us810

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☐ Fee Attached
- ☒ Amendment (11 pages)
☐ After Final
☐ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Additional Enclosure(s) (please identify below):

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0990 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm
or
Individual name

Joanne R. Petithory Reg. No. 42,995

Signature

Joanne R. Petithory

Date

June 17, 2002

CERTIFICATE OF FACSIMILE TRANSMITTAL UNDER 37 C.F.R. §1.8

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Signature

Joanne R. Petithory

Date

June 17, 2002

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(m)NO. 6750 (v)SP. 3:00
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB09-12.

TOTAL AMOUNT OF PAYMENT (\$) 400

Complete if Known

Application Number	09/648,569
Filing Date	August 25, 2000
First Named Inventor	A. H. Pedersen
Examiner Name	Pamela G. Holbrook
Group / Art Unit	1647
Attorney Docket No.	0202us810

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 50-0990
Deposit Account Name Maxygen, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

**or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 180	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	400
117 920	217 460	Extension for reply within third month	
118 1440	218 720	Extension for reply within fourth month	
128 1960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1280	241 640	Petition to revive - unintentional	
142 1280	242 640	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
129 50	129 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Sheet	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 400

SUBMITTED BY

Typed or Printed Name

Joanne R. Petithory

Signature

Joanne R. Petithory

Date

6/17/02

Complete (if applicable)

Reg. Number

42,995

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June 17, 2002